

2623  
Patent  
62478-7100 (BO25)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Seiichiro Tamai

Serial No.: 09/813,533

Filed: March 19, 2001

For: AN APPARATUS FOR IDENTITY  
VERIFICATION, A SYSTEM FOR  
IDENTITY VERIFICATION, A CARD  
FOR IDENTITY VERIFICATION  
AND A METHOD FOR IDENTITY  
VERIFICATION, BASED ON  
IDENTIFICATION BY BIOMETRICS

Patent Examiner: Kevin Siangchin

Group Art Unit: 2623

#5  
TL  
5-17-04

RECEIVED

MAY 1 '2 2004

Technology Center 2600

May 6, 2004

Irvine, California 92614

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. § 1.97(c) - 1.98, applicant wishes to bring to the attention of the United States Patent Examiner the following reference which was disclosed by a third party.

U.S. PATENTS

5,559,504	Itsumi et al.
5,887,140	Itsumi et al.
5,280,527	Gullman et al.
5,623,552	Lane
5,852,670	Setlak et al.

FOREIGN PATENT PUBLICATIONS

PCT International Publication No. WO 00/31679  
PCT International Publication No. WO 97/43677  
PCT International Publication No. WO 94/09946  
PCT International Publication No. WO 91/08555  
PCT International Publication No. WO 99/39310  
PCT International Publication No. WO 99/56237  
European Patent Publication No. EP 0 863 491 A1  
European Patent Publication No. EP 0 976 897 A1  
European Patent Publication No. EP 0 895 750 A2  
UK Patent Publication No. GB 2 331 613 A

A copy of the references are enclosed herewith and are listed on the attached PTO Form 1449.

The undersigned attorney hereby certifies that the documents contained in the Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart international application not more than three months prior to filing this statement.

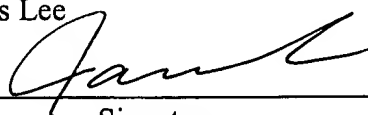
If the Examiner believes that a telephone interview would help further the prosecution of this case, he is respectfully requested to contact the undersigned attorney at the listed telephone number.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 6, 2004.

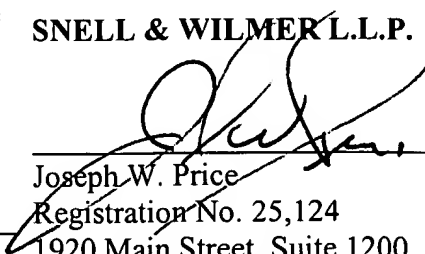
Respectfully submitted,

**SNELL & WILMER L.L.P.**

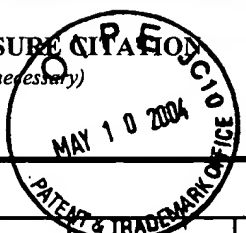
By: James Lee

  
\_\_\_\_\_  
Signature

Date: May 6, 2004

  
\_\_\_\_\_  
Joseph W. Price  
Registration No. 25,124  
1920 Main Street, Suite 1200  
Irvine, California 92614-7230  
Telephone: (949) 253-2720

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>62478-7100 (BO25)</b>		Application Number <b>09/813,533</b>	
				Applicant(s) <b>Seiichiro Tamai</b>			
				Filing Date <b>3-19-2001</b>		Group Art Unit <b>2623</b>	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,559,504	9-24-1996	ITSUMI ET AL.			
	B	5,887,140	3-23-1999	ITSUMI ET AL.			
	C	5,280,527	1-18-1994	GULLMAN ET AL.			
	D	5,623,552	4-22-1997	LANE			
	E	5,852,670	12-22-1998	SETLAK ET AL.			

**RECEIVED**  
**MAY 12 2004**  
**Technology Center 2600**

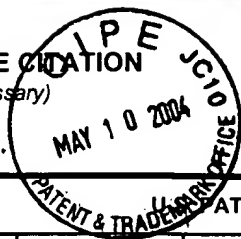
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	F	WO 00/31679	6-2-2000	PCT				
	G	WO 97/43677	11-20-1997	PCT				
	H	WO 94/09946	5-11-1994	PCT				
	I	WO 91/08555	6-13-1991	PCT				
	J	WO 99/39310	8-5-1999	PCT				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	ATTY DOCKET NO. 62478-700 (BO25)	SERIAL NO. 09/813,533
	Seiichiro Tamai	
	FILING 3-19-2001	GROUP 2623



**PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**RECEIVED**  
 MAY 12 2004  
 Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	K	WO 99/56237	11-4-1999	PCT				
	L	EP 0 863 491 A1	9-9-1998	EUROPE				
	M	EP 0 976 897 A1	2-2-2000	EUROPE				
	N	EP 0 895 750 A2	2-10-1999	EUROPE				
	O	GB 2 331 613 A	5-26-1999	UNITED KINGDOM				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.